

## Statement of Expectations in External Funding and Scholarship

There have been several changes to security and research integrity in the federal government within the last year. These changes have increased the need for accurate information to be relayed on many proposal documents that are dependent on your firsthand knowledge. These changes have impacted biographical sketches, current and pending support/other support, conflict of interest disclosures, human subjects documentation, and data management and sharing plans to name a few. All federal agencies are affected, and many new implementation procedures are being rolled out now to ensure compliance. You can read about the history of the changes and guidance on implementation [here](#). Any fraudulent claims or omissions may subject researchers and the University to criminal, civil, or administrative penalties. Therefore, compliance is paramount.

As a result of these changes, the University had to pivot to ensure that adherence is met. This is one of the reasons for changes to [DRA's deadline policy](#) which allows for additional lead time to ensure compliance to these requirements. CEHHS has also had to pivot in our Office of Research and External Funding to make sure the burden of compliance is with the faculty member, not our staff, since we do not have firsthand knowledge in these areas. In addition to these changes, more faculty are applying and being successful in federal, private and state funding in CEHHS. This is fantastic and our team could not be more pleased! However, we, too, must balance many differing sponsors, varying guidance, forms, application portals, and internal policies to ensure nothing is missed. To ensure the best quality application is going forward for consideration, we are asking for the following:

- Increased attention and adherence to changed forms and templates provided and sponsor guidelines.
- Timeliness and responsiveness when leading a submission, even in times of university breaks between semesters and summer.
- Adherence to agreed upon timelines for the submission.

We have established some modifications to our process that we will go over with you when you lead your next submission. However, if you have questions, please reach out to [cehhsresearch@utk.edu](mailto:cehhsresearch@utk.edu).

Don't forget you can tell us about your next submission at <https://tinyurl.com/plantosubmit>.

Thank you!